



The Capital Group Companies, Inc.  
333 South Hope Street  
Los Angeles, California 90071-1406

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13 October 2009

VIA FACSIMILE – ORIGINAL IN POST

Lonza AG  
Muenchensteinerstrasse 38  
CH-4002, Basel, Switzerland

SIX Swiss Exchange Ltd.  
Disclosure Office  
Selnaustrasse 30  
PO Box 1758  
CH-8021 Zurich

Attn: Legal Department

Re: Notification to the Company and to the Disclosure Office of the SWX Swiss Exchange

Dear Sir/Madam:

Please find enclosed a Corporate Substantial Shareholder Notice.

For the purpose of this Notification, the total issued share capital used was 50,450,000 to calculate the percentage of holdings. We believe this figure is current; however, if this number is not accurate, please contact us as soon as possible so that we may revise this notice.

Should you have questions or require additional information, please contact Gina Martinez or Vivien Tan at (213) 452-0469, or send a fax message to (213) 486-9698. Alternatively, you may contact us via e-mail at [GRGroup@capgroup.com](mailto:GRGroup@capgroup.com).

Regards,

  
Gina Martinez  
Compliance Manager

## Form II

### Notification in the case of action in concert or as an organised group and in the case of changes in the composition of those involved and the type of understanding or group pursuant to Art. 10 and Art. 21 SESTO-FINMA

#### I. Information on the issuer, persons subject to disclosure obligations, timing and the circumstances triggering the obligation to notify

<b>Name of listed company</b>	<b>1</b>
<b>Lonza AG</b>	
<b>Identify of the individual group members: Surname, first name and place of residence or company name, head office and address of the buyer or seller and/or other persons concerned (beneficial owners)</b>	<b>2</b>
<p>The Capital Group Companies, Inc. ("CGC"), with respect to investments made by funds managed by Capital Research and Management Company ("CRMC"), and clients' portfolios managed by Capital Guardian Trust Company ("CGTC"), Capital International Limited ("CIL"), Capital International Inc. ("CII"), Capital International Sàrl ("CISA") and Capital International K.K. ("CIKK").</p> <p>Office Address:</p> <p>CGC 333 South Hope Street, Los Angeles, CA, USA</p> <p>CRMC 333 South Hope Street, Los Angeles, CA, USA</p> <p>CGTC 333 South Hope Street, Los Angeles, CA, USA</p> <p>CIL 40 Grosvenor Place, London, United Kingdom</p> <p>CII 11100 Santa Monica Blvd 15<sup>th</sup> Fl., Los Angeles, CA., USA</p> <p>CISA 3 Place des Bergues, 1201 Geneva, Switzerland</p> <p>CIKK Shin-Marunouchi Building, 33<sup>rd</sup> Floor, 1-5-1, Marunouchi, Chiyoda-ku Tokyo 100-6533 Japan</p>	
<b>In the event of indirect acquisition or indirect sale:</b>	<b>3</b>
<p><b>Surname, first name and place of residence or company name, head office and address of the <u>direct buyer or seller</u> and/or the other persons concerned, as well as details of the relationship between the beneficial owner and the direct buyer or seller, disclosing the full structure by which control is exercised</b></p> <p>CGC is a holding company for several subsidiary companies engaged in investment management business. The investment management business is divided into two operational groups, represented by CRMC and Capital Group International, Inc. ("CGII"). CRMC is a U.S.-based investment adviser that manages The American Funds family of mutual funds. CGII is the parent company of five companies that serve as investment managers to various institutional clients around the globe: CGTC in the U.S, CII in the U.S. and Singapore, CIL in the United Kingdom, and CISA in Switzerland. CRMC and CGII are 100% affiliates of CGC. CGTC, CII, CIL, CISA and CIKK are 100% affiliates of CGII. For a more complete description of our organization, please visit our Web site at <a href="http://www.capgroup.com">www.capgroup.com</a>.</p> <p>Neither CGC nor any of its subsidiaries own shares of this company for their own account. The shares reported in this Notification are owned by accounts under the discretionary investment management of one or more of the investment management companies described above. Furthermore, CRMC and CGII act separately from one another and from CGC in exercising investment discretion over their managed accounts. The discretionary investment management entitles CGC's management companies to exercise voting rights autonomously and this Notification</p>	

is there an "Independent Notification according to the Disclosure Office Notice Nr. II/99",			
<b>Date of the act which creates the obligation to notify</b>	<b>4</b>	<b>Date of transfer of equity securities (if different from section 4)</b>	<b>5</b>
12 October 2009		N/A	
<b>Facts and circumstances triggering the obligation to notify (Art. 21 para.1 b SEST-FINMA)</b> (e.g.: acquisition, sale, securities lending and similar transactions pursuant to Art. 14 SESTO-FINMA, exercise or non-exercise of financial instruments pursuant to Art. 15 SESTO-FINMA, changes in the company's registered capital, decisions by a court or public authority, grounds for action in concert or change to the composition of a group)			<b>6</b>
<b>Sale</b>			
<b>Type of understanding</b>	<b>7</b>	<b>Surname, first name, and place of residence of the person responsible for representing all group members</b>	<b>8</b>
N/A		N/A	
<b>Surname, first name, place of residence, telephone and fax numbers of the contact person responsible</b>	<b>9</b>	<b>Date: 13 October 2009</b>	<b>10</b>
Gina Martinez (Tel) 213-615-0469 / (Fax) 213-486-9698		Signature:	

## II. Disclosure of purchase positions pursuant to Art. 12 para. 1 a SESTO-FINMA

(Equity securities, conversion and share purchase rights (Art. 15 para. 1 a SESTO-FINMA), granted (written) share sale rights (Art. 15 para 1 b SESTO-FINMA) and financial instruments (Art. 15 para. 1 c SESTO-FINMA))

Percentage of voting rights (entire shareholding): 4.9812%

### 1. Equity securities:

Shares	Number	Voting rights <sup>1</sup>	
		Number	Percentage <sup>2</sup>
Regist.	2,512,994	2,512,994	4.9812%
Bearer	n/a	n/a	n/a
Total:	2,512,994	2,512,994	4.9812%

### Securities lending and comparable transactions in equity securities

From the above mentioned equity securities, the following part is held due to securities lending and comparable transactions (Art. 21 para. 2 b SESTO-FINMA and Art. 14 SESTO-FINMA)

Shares	Number	Proportion of voting rights	Nature of the legal transaction	Agreed date of return transfer or, if there is a right to choose, whether this applies to the contracting party subject to the obligation to notify or to the counterparty
Regist.	n/a			
Bearer	n/a			

### 2. Financial instruments

#### a. Conversion rights, share purchase rights and granted (written) share sale rights, that provide or permit actual delivery<sup>3</sup>:

Types of rights	Number of rights	Voting rights conferred		Security ID number (ISIN), if listed on a Swiss stock exchange, or basic terms (Art. 17 para. 1 <sup>bis</sup> SESTO-SFBC) (e.g.: identity of issuer, underlying, subscription ratio, exercise price, exercise period, exercise type)
		Number	Percentage <sup>2</sup>	
n/a				
Total:		2.1 N/A	2.2 N/A	

<sup>1</sup> Whether exercisable or not.

<sup>2</sup> Calculated on the basis of the total number of voting rights pursuant to the entry in the commercial register (Art. 12 para. SESTO-FINMA).

<sup>3</sup> Whether standardized or not.

**b. Financial instruments that provide for or permit cash settlement, as well as other differential transactions (such as contracts for difference and financial futures) (Art. 15 para. 1 c SESTO-FINMA);**

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN), if listed on a Swiss stock exchange, or basic terms (Art. 17 para. 1 <sup>st</sup> SESTO-SFBC)  (e.g.: identity of issuer, underlying, subscription ratio, exercise price, exercise period, exercise type)
		Number	Percentage	
n/a				
<b>Total:</b>		3,1 n/a	3,2 n/a	

**c. Financial instruments which economically enable the acquisition of equity securities in respect of a public takeover offer (Art. 15 para. 2 SESTO-FINMA);**

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN), if listed on a Swiss stock exchange, or basic terms (Art. 17 para. 1 <sup>st</sup> SESTO-SFBC)  (e.g.: identity of issuer, underlying, subscription ratio, exercise price, exercise period, exercise type)
		Number	Percentage	
n/a				
<b>Total:</b>		4,1 n/a	4,2 n/a	

**Securities lending and comparable transactions in financial instruments**

From the above mentioned financial instruments (Point 2 a, b and c), the following part is held due to securities lending and comparable transactions (Art. 21 para. 2 b SESTO-FINMA and Art. 14 SESTO-FINMA)

Type of Rights	Number	Proportion of voting rights	Nature of the legal transaction	Agreed date of return transfer or, if there is a right to choose, whether this applies to the contracting party subject to the obligation to notify or to the counterparty
n/a				
n/a				

**TOTAL SHAREHOLDING:**

Number	Percentage
S1 (=1.1+2.1+3.1+4.1)	S2 (=1.2+2.2+3.2+4.2)
2,512,004	4.9812%

### III. Disclosure of sale positions pursuant to Art. 12 para. 1 b SESTO-FINMA

(Share sale rights (specifically put options) held and granted (written) conversion and share purchase rights as well as financial instruments that provide for or permit cash settlement as well as other differential transactions (such as contracts for difference and financial futures))

Percentage of voting rights from underlying shares (entire shareholding)	n/a
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#### Detailed information (Art. 15 and Art. 21 para. 1 a and para. 2 c and d SESTO-FINMA)

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN), if listed on a Swiss stock exchange, or basic terms  (e.g.: identity of issuer, underlying, subscription ratio, exercise price, exercise period, exercise type)
		Number	Percentage	
n/a				
Total:				

**IV. Notification in the case of changes to the composition of those involved and in the type of understanding or group pursuant to Art. 10 para. 5 SESTO-FINMA**

**Please note:**

**When reporting a change to a group, all of the other information stated in Art. 21 SESTO-FINMA must also be updated and reported, i.e. there must always be full disclosure in accordance with sections I to III of this form.**

<b>Change in the composition of those involved</b>		
	<b>Previous (or "see notice dated"):</b>	<b>New as of (date):</b>
N/A	N/A	
<b>Change in the type of understanding or group</b>		
	<b>Previous (or "see notice dated"):</b>	<b>New as of (date):</b>
N/A	N/A	